

Receipt

PATENT  
Attorney Docket No. SCRIP1180-3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Griffin et al.  
Serial No.: 09/606,779  
Filed: June 28, 2000  
Title: METHOD FOR DIAGNOSIS OF THROMBOTIC DISORDERS

Art Unit: 1743  
Examiner: Unassigned

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Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Sir:

This Communication is submitted to request correction of the filing receipt in reference to the above-identified application. The data requiring correction is indicated in yellow highlight on the attached copy of the filing receipt and herein in **BOLD**.

CERTIFICATION UNDER 37 CFR §1.8	
I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, <u>6-21-01</u> , in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231	
<u>Gina Napoli</u> (Name of Person mailing Paper)	
<u>[Signature]</u> (Signature of Person Mailing Paper)	<u>6-21-01</u> Date

In re Application of:  
Griffin et al.  
Serial No.: 09/606,779  
Filed: June 28, 2000  
Page 2

PATENT  
Attorney Docket No. SCRIP1180-3

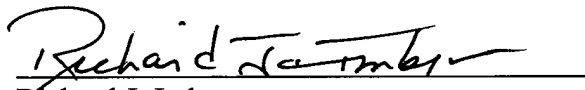
Please change the status from "Small Entity" to **--LARGE ENTITY--**.

Applicants accordingly request the correction be made of record.

No fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, the Commissioner is hereby authorized to charge the amount of this fee, or credit any overpayments, to Deposit Account No. 50-1355.

Respectfully submitted,

Date: June 21, 2001



Richard J. Imbra  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/606,779	06/28/2000	1743	420	SCRIP1180-3	18	1	1

Lisa A Haile PhD  
Gray Cary Ware & Freidenrich LLP  
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San Diego, CA 92121-2189

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## FILING RECEIPT



\*OC00000005824549\*

Date Mailed: 03/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

John H. Griffin, Del Mar, CA ;  
Samuel I. Rapaport, La Jolla, CA ;  
Dzung T. Le, San Diego, CA ;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 09/189,343 11/10/1998 PAT 6,083,757  
WHICH IS A CON OF 08/993,664 12/18/1997 PAT 5,834,223  
AND A DIV OF 08/339,828 11/14/1994 PAT 5,705,395

## Foreign Applications

If Required, Foreign Filing License Granted 09/20/2000

\*\* SMALL ENTITY \*\*

LE

## Title

Method for diagnosis of thrombotic disorders

## Preliminary Class

436

Data entry by : HARRISON, BRUCE

Team : 1700

Date: 03/05/2001



**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

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**NOT GRANTED**

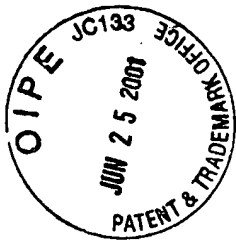
No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



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O] 858-677-1456  
F] 858-677-1465

June 28, 2000

**Attorney Docket No.: SCRIP1180-3**  
Parent Serial No. 09/189,343  
Parent Filing Date: November 10, 1998

**Box PATENT APPLICATION**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Presented for filing is a new continuation patent application of:

Applicant: JOHN H. GRIFFIN; SAMUEL I. RAPAPORT; and DZUNG T. LE

Title: METHOD FOR DIAGNOSIS OF THROMBOTIC DISORDERS

Enclosed are the following papers, including all those required to receive a filing date under 37 CFR § 1.53(b):

	<u>Pages</u>
Specification	18
Claim(s)	1
Abstract	1
Drawing(s)	9

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Date of Deposit June 28, 2000

I hereby certify under 37 CFR 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

*Mikhail Bayley*  
Mikhail Bayley

Docket No. SCRIP1180-3  
Box Patent Application  
June 28, 2000  
Page Two

Enclosures:

- New disclosure information, including:  
Information disclosure statement, 1 page, and copy of Form PTO-1449,  
1 page,
- Postcard.

This application is a continuation and claims the benefit of priority under 35 USC § 120 of U.S. application Serial No. 09/189,343, filed November 10, 1998 (issuing July 4, 2000 as U.S. Patent No. 6,083,757); which is a continuation of U.S. application Serial No. 08/993,664, filed December 18, 1997 (issued on November 10, 1998 as U.S. Patent No. 5,834,223); which is a divisional of U.S. application Serial No. 08/339,828, filed November 14, 1994 (issued on January 6, 1998 as U.S. Patent No. 5,705,395). The disclosure of the prior applications is considered part of and is incorporated by reference in the disclosure of this application.

Preliminarily, on page 1 of the specification, before line 1, insert: --This application is a continuation and claims the benefit of priority under 35 USC § 120 of U.S. application Serial No. 09/189,343, filed November 10, 1998 (issued July 4, 2000 as U.S. Patent No. 6,083,757); which is a continuation of U.S. application Serial No. 08/993,664, filed December 18, 1997 (issued on November 10, 1998 as U.S. Patent No. 5,834,223); which is a divisional of U.S. application Serial No. 08/339,828, filed November 14, 1994 (issued on January 6, 1998 as U.S. Patent No. 5,705,395). The disclosure of the prior applications is considered part of and is incorporated by reference in the disclosure of this application.--

Under 37 CFR § 1.53(d), no filing fee is being paid at this time. Please apply any other required fees, **EXCEPT FOR THE FILING FEE**, to Deposit Account No. 50-1355, referencing the attorney docket number shown above. A copy of the transmittal sheet is enclosed.

If this application is found to be INCOMPLETE, or if a telephone conference would otherwise be helpful, please call the undersigned at (858) 677-1456.

Kindly acknowledge receipt of this application by returning the enclosed postcard.



Gray Cary Ware & Freidenrich LLP

Docket No. SCRIP1180-3

Box Patent Application

June 28, 2000

Page Three

Please send all correspondence to:

Lisa A. Haile, Ph.D.  
Gray Cary Ware & Freidenrich LLP  
4365 Executive Drive, Suite 1600  
San Diego, CA 92121-2189  
Telephone: 858-677-1456  
Facsimile: 858-677-1465

Very truly yours,

GRAY CARY WARE & FREIDENRICH LLP

A handwritten signature in cursive script, reading "Lisa A. Haile", written over a horizontal line.

Lisa A. Haile, Ph.D.

Reg. No. 38,347

Telephone: 858-677-1456

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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Bib Data Sheet

CONFIRMATION NO. 1406

<b>SERIAL NUMBER</b> 09/606,779	<b>FILING DATE</b> 06/28/2000 <b>RULE</b>	<b>CLASS</b> 435	<b>GROUP ART UNIT</b> 1644	<b>ATTORNEY DOCKET NO.</b> SCRIP1180-3
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**APPLICANTS**

John H. Griffin, Del Mar, CA;  
Samuel I. Rapaport, La Jolla, CA;  
Dzung T. Le, San Diego, CA;

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**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLICATION IS A CON OF 09/189,343 11/10/1998 PAT 6,083,757  
WHICH IS A CON OF 08/993,664 12/18/1997 PAT 5,834,223  
AND A DIV OF 08/339,828 11/14/1994 PAT 5,705,395

**\*\* FOREIGN APPLICATIONS \*\*\*\*\*****IF REQUIRED, FOREIGN FILING LICENSE GRANTED****\*\* 09/20/2000**

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 18	<b>TOTAL CLAIMS</b> 1	<b>INDEPENDENT CLAIMS</b> 1
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

**ADDRESS**

Lisa A Haile PhD  
Gray Cary Ware & Freidenrich LLP  
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**TITLE**

Method for diagnosis of thrombotic disorders

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		<input type="checkbox"/> 1.18 Fees ( Issue )
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